

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

0460
6-21-01
SAD
#4
11-S-01

APPLICANTS: James Weifu Lee et al
SERIAL NO.: 09/873,928
FILED: 06/04/01
TITLE: Catalyst-Induced Growth of Carbon Nanotubes on Tips of Cantilevers and Nanowires

DOCKET NO.: 0899
ART UNIT: 1741
EXAMINER: Leader

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT under 37 CFR 1.56 and 1.97

The Commissioner of Patents and Trademarks
Washington, D. C. 20231

Sir:

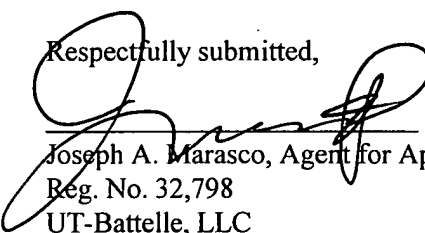
Submitted herewith on Form PTO-1449 is a listing of documents known to applicant in order to comply with applicant's duty of disclosure pursuant to 37 C.F.R. § 1.56. A copy of each document is being submitted herewith to comply with the provisions of 37 C.F.R. §§ 1.97 and 1.98.

Applicant presents these references that the Patent Office may determine any relevancy thereof to the presently claimed invention.

Applicant respectfully requests that the references be expressly considered during the prosecution of the subject application and made of record therein and appear among the "references cited" on any patent to issue therefrom.

Applicant also requests that an initialed copy of Form PTO-1449 be returned in accordance with MPEP Section 609.

Respectfully submitted,


Joseph A. Marasco, Agent for Applicants
Reg. No. 32,798
UT-Battelle, LLC
P.O. Box 2008 MS 6498
Oak Ridge, TN 37831
(865) 574-4178

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on

July 16, 2001
Helen Atchley